

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2020

Open to Public Inspection

Form **990-PF**

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning , and ending

Name of foundation THE WINTHROP ROCKEFELLER FOUNDATION		A Employer identification number 71-0285871
Number and street (or P.O. box number if mail is not delivered to street address) 225 EAST MARKHAM STREET	Room/suite 200	B Telephone number (501) 376-6854
City or town, state or province, country, and ZIP or foreign postal code LITTLE ROCK, AR 72201		C If exemption application is pending, check here ...▶
G Check all that apply: Initial return Final return Address change Initial return of a former public charity Amended return Name change		D 1. Foreign organizations, check here▶ 2. Foreign organizations meeting the 85% test, check here and attach computation▶
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ...▶
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 157,147,472.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual Other (specify) _____ (Part I, column (d), must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ...▶ <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	0.			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	443,936.	510,714.		STATEMENT 2
	4 Dividends and interest from securities	795,072.	1,235,835.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,675,929.			STATEMENT 1
	b Gross sales price for all assets on line 6a	29,104,376.			
	7 Capital gain net income (from Part IV, line 2)		6,209,398.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	76,509.	-724,382.	0.	STATEMENT 4	
12 Total. Add lines 1 through 11	3,991,446.	7,231,565.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	864,814.	123,070.	0.	741,744.
	14 Other employee salaries and wages	448,921.	0.	0.	448,921.
	15 Pension plans, employee benefits	563,279.	20,311.	0.	542,968.
	16a Legal fees	7,547.	4,165.	0.	3,382.
	b Accounting fees	60,068.	36,320.	0.	23,748.
	c Other professional fees	813,723.	561,924.	0.	158,447.
	17 Interest	59.	59.	0.	0.
	18 Taxes	63,721.	77,910.	0.	0.
	19 Depreciation and depletion	43,050.	0.	0.	
	20 Occupancy	125,582.	0.	0.	125,582.
	21 Travel, conferences, and meetings	8,760.	645.	0.	8,115.
	22 Printing and publications	28,428.	0.	0.	28,428.
	23 Other expenses	800,406.	10,020.	0.	740,379.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,828,358.	834,424.	0.	2,821,714.
	25 Contributions, gifts, grants paid	3,405,070.			4,020,070.
26 Total expenses and disbursements. Add lines 24 and 25	7,233,428.	834,424.	0.	6,841,784.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-3,241,982.				
b Net investment income (if negative, enter -0-)		6,397,141.			
c Adjusted net income (if negative, enter -0-)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		5,951,389.	3,670,918.	3,670,918.
	3	Accounts receivable	58,705.			
		Less: allowance for doubtful accounts		17,693.	58,705.	58,705.
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable	45,271.			
		Less: allowance for doubtful accounts	0.	13,536.	45,271.	45,271.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 12	115,688,015.	126,507,022.	126,507,022.
	c	Investments - corporate bonds	STMT 13	17,409,741.	18,728,605.	18,728,605.
	11	Investments - land, buildings, and equipment: basis	652,858.			
	Less: accumulated depreciation	STMT 11	370,323.	301,162.	282,535.	
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
15	Other assets (describe)		7,438,326.	7,854,416.	7,854,416.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		146,819,862.	157,147,472.	157,147,472.	
Liabilities	17	Accounts payable and accrued expenses		468,418.	611,018.	
	18	Grants payable		2,637,494.	2,022,494.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		3,105,912.	2,633,512.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.					
	24	Net assets without donor restrictions		143,713,950.	154,513,960.	
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
29	Total net assets or fund balances		143,713,950.	154,513,960.		
30	Total liabilities and net assets/fund balances		146,819,862.	157,147,472.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	143,713,950.
2	Enter amount from Part I, line 27a	2	-3,241,982.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 10	3	14,041,992.
4	Add lines 1, 2, and 3	4	154,513,960.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	154,513,960.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	29,104,376.	22,894,978.	6,209,398.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			6,209,398.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,209,398.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	6,197,128.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income
SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
	Reserved			
	Reserved			
	Reserved			
	Reserved			
	Reserved			

2	Reserved	2	
3	Reserved	3	
4	Reserved	4	
5	Reserved	5	
6	Reserved	6	
7	Reserved	7	
8	Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Reserved		1	88,920.
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	88,920.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	88,920.
6 Credits/Payments:			
a 2020 estimated tax payments and 2019 overpayment credited to 2020	6a		105,720.
b Exempt foreign organizations - tax withheld at source	6b		0.
c Tax paid with application for extension of time to file (Form 8868)	6c		10,000.
d Backup withholding erroneously withheld	6d		0.
7 Total credits and payments. Add lines 6a through 6d		7	115,720.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	314.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	26,486.
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax <input type="checkbox"/> 26,486. Refunded <input type="checkbox"/>		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> <u>AR</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.WRFOUNDATION.ORG/WWW.GUIDESTAR.ORG	X	
14 The books are in care of ► ANDREA M. DOBSON Telephone no. ► (501) 376-6854 Located at ► 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR ZIP+4 ► 72201		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	<input checked="" type="checkbox"/>
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 16	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	<input checked="" type="checkbox"/>
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		864,814.	139,291.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	CHIEF INNOVATION OFFICER 50.00	239,131.	33,048.	0.
SARAH MCBROOM - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	87,541.	20,629.	0.
SHANTELL HINTON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	87,557.	16,404.	0.
DAVID LEWIS - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	87,835.	10,561.	0.
KATIE WIGGINS - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	SENIOR OPERATIONS ASSOCIATE 40.00	67,700.	20,397.	0.
Total number of other employees paid over \$50,000				1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 125 HIGH STREET, BOSTON, MA 02110-2112	INVESTMENT ADVISORY SERVICES	262,043.
THE NORTHERN TRUST COMPANY 5 SOUTH LASALLE STREET, CHICAGO, IL 60603	INVESTMENT CUSTODY & MANAGEMENT SERVICES	116,455.
OAKVIEW CAPITAL MANAGEMENT 3879 MAPLE AVE, SUITE 300, DALLAS, TX 75219	INVESTMENT MANAGEMENT SERVICES	86,435.
SPLITFIRE STRATEGIES LLC - 2300 N STREET NW, STE 610, WASHINGTON, DC 20037	COMMUNICATION ADVISING	71,362.
SARA BISHOP DBA ORCHID COMMUNICATIONS LLC 410 W HOLLY ST., FAYETTEVILLE, AR 72703	COMMUNICATION ADVISING	64,656.
Total number of others receiving over \$50,000 for professional services		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 17	270,360.
2 SEE STATEMENT 18	256,160.
3 SEE STATEMENT 19	195,823.
4 SEE STATEMENT 20	175,715.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 21	500,000.
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	500,000.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	130,250,058.
b	Average of monthly cash balances	1b	4,385,702.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	134,635,760.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	134,635,760.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,019,536.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	132,616,224.
6	Minimum investment return. Enter 5% of line 5	6	6,630,811.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,630,811.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	88,920.
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	88,920.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,541,891.
4	Recoveries of amounts treated as qualifying distributions	4	32,394.
5	Add lines 3 and 4	5	6,574,285.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,574,285.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,841,784.
b	Program-related investments - total from Part IX-B	1b	500,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	7,341,784.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,341,784.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

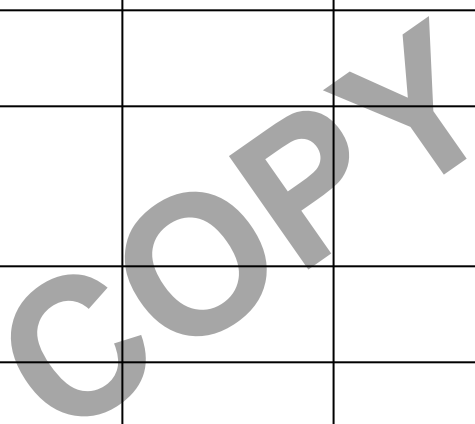
	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				6,574,285.
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2020:				
a From 2015				
b From 2016	562,654.			
c From 2017	1,952,914.			
d From 2018				
e From 2019				
f Total of lines 3a through e	2,515,568.			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$	7,341,784.			
a Applied to 2019, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2020 distributable amount				6,574,285.
e Remaining amount distributed out of corpus	767,499.			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,283,067.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	3,283,067.			
10 Analysis of line 9:				
a Excess from 2016	562,654.			
b Excess from 2017	1,952,914.			
c Excess from 2018				
d Excess from 2019				
e Excess from 2020	767,499.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					



Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed: SEE STATEMENT 23

SEE STATEMENT 22

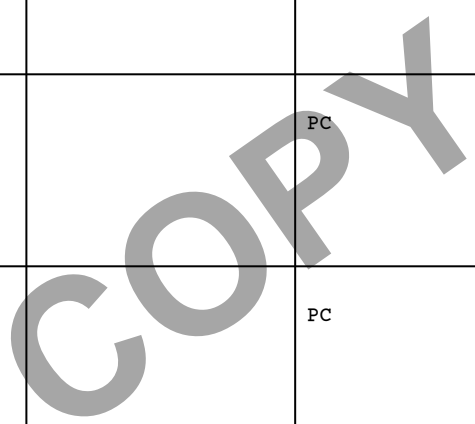
b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
ADVOCATES FOR COMMUNITY AND RURAL EDUCATION VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT THE RURAL COMMUNITY ALLIANCES TO CARRY OUT ITS MISSION TO HELP RURAL SCHOOLS AND COMMUNITIES	100,000.
ARKANSAS ADVOCATES FOR CHILDREN AND FAMILIES VARIOUS LITTLE ROCK, AR 72201		PC	TO GROW PUBLIC WILL AND NARRATIVE CHANGE INFRASTRUCTURE TO SUPPORT MOVEMENT BUILDING FOR ALICE	120,000.
ARKANSAS COMMUNITY ACTION AGENCIES ASSOCIATION, INC VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	15,000.
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS IMPACT PHILANTHROPY AS IT IDENTIFIES AND TAKES	50,000.
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SERVE AS FISCAL SPONSOR FOR THE EXCEL BY EIGHT INITIATIVE TO INCREASE HEALTH AND EDUCATION OUTCOMES AND	500,000.
Total	SEE CONTINUATION SHEET(S)			4,020,070.
b Approved for future payment				
ARKANSAS ADVOCATES FOR CHILDREN AND FAMILIES VARIOUS LITTLE ROCK, AR 72201		PC	TO GROW PUBLIC WILL AND NARRATIVE CHANGE INFRASTRUCTURE TO SUPPORT MOVEMENT BUILDING FOR ALICE	105,000.
ARKANSAS BAPTIST COLLEGE VARIOUS LITTLE ROCK, AR 72201		NC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE	50,000.
ARKANSAS COMMUNITY ACTION AGENCIES ASSOCIATION, INC VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	10,000.
Total	SEE CONTINUATION SHEET(S)			1,427,500.



THE WINTHROP ROCKEFELLER FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b PROGRAM-RELATED INVESTMENTS	P		
c K-1 - OWL VENTURES II, LP	P		
d K-1 - BAIN CAPITAL DOUBLE IMPACT FUND	P		
e K-1 - GQG PARTNERS	P		
f K-1 - OWNERSHIP CAPITAL	P		
g K-1 - DIAMOND STATE VENTURES III	P		
h K-1 - DIAMOND STATE VENTURES II, LP	P		
i K-1 - FUND FOR ARKANSAS' FUTURE	P		
j K-1 - ELEVAR EQUITY	P		
k K-1 - IMPACT AMERICA	P		
l K-1 - SJF VENTURES IV	P		
m K-1 - STEWART INVESTORS GEMSL FUND	P		
n K-1 - NEWTYN TE PARTNERS LP	P		
o K-1 - ILLUMEN CAPITAL	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,451,976.		22,749,138.	2,702,838.
b 43,125.			43,125.
c		30,838.	-30,838.
d 415,445.			415,445.
e 1,820,589.			1,820,589.
f 261,413.			261,413.
g		66,477.	-66,477.
h 331,358.			331,358.
i 30,552.			30,552.
j 68,852.			68,852.
k 13,496.			13,496.
l 380,831.			380,831.
m		43,917.	-43,917.
n 269,590.			269,590.
o 4,879.			4,879.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** 2,702,838.
b			** 43,125.
c			** -30,838.
d			** 415,445.
e			** 1,820,589.
f			** 261,413.
g			** -66,477.
h			** 331,358.
i			** 30,552.
j			** 68,852.
k			** 13,496.
l			** 380,831.
m			** -43,917.
n			** 269,590.
o			** 4,879.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

THE WINTHROP ROCKEFELLER FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a K-1 - PRESERVER LP	P		
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		4,608.	-4,608.
b	12,270.		12,270.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			



Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** -4,608.
b			12,270.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	6,209,398.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	6,197,128.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT DIRECT SERVICES, ADVOCACY, AND ORGANIZING SO THAT IMMIGRANTS AND REFUGEES CAN FULLY	150,000.
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO CONDUCT AN INTERNAL EQUITY AUDIT AND RELATED TRAINING IN PREPARATION FOR STRATEGIC PLANNING	25,000.
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT URGENT FUNDING NEEDS OF LGBTQ-LED AND -SERVING NONPROFIT ORGANIZATIONS IN	27,500.
ARKANSAS COMMUNITY FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO LEVERAGE INDIVIDUAL AND PHILANTHROPIC FUNDS TO SUPPORT NONPROFIT ORGANIZATIONS ACTIVELY	100,000.
ARKANSAS COMMUNITY INTSITUTE. VARIOUS LITTLE ROCK, AR 72201		PC	TO DEVELOP COMMUNITY-DRIVEN PROBLEM-SOLVING AND STRENGTHEN NARRATIVE CAPACITY OF GRASSROOTS	50,000.
ARKANSAS COMMUNITY INTSITUTE. VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
ARKANSAS EARLY CHILDHOOD ASSOCIATION VARIOUS LITTLE ROCK, AR 72201		PC	TO COMPLETE A STRATEGIC PLAN DRIVEN BY ENGAGEMENT OF ITS MEMBERS	30,000.
ARKANSAS JUSTICE COLLECTIVE VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	12,500.
ARKANSAS REGIONAL INNOVATION HUB, INC VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE RESIDENTS ALONG THE 12TH STREET CORRIDOR WITH THE TOOLS NECESSARY TO ACCESS ECONOMIC AND	25,000.
ARKANSAS UNITED COMMUNITY COALITION VARIOUS LITTLE ROCK, AR 72201		PC	BUILD AND SUSTAIN THE CAPACITY OF IMMIGRANT-LED AND -SERVING ORGANIZATIONS THAT CENTER IMMIGRANT	50,000.
Total from continuation sheets				3,235,070.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARKANSAS UNITED COMMUNITY COALITION VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT THE ORGANIZING INFRASTRUCTURE IN ARKANSAS FOR LONG-TERM STRUCTURAL ECONOMIC	75,000.
ASSET FUNDERS NETWORK VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT A LOCAL COORDINATOR FOR THE ARKANSAS ASSET FUNDERS NETWORK WHO WILL OVERSEE THE STEERING	20,000.
ASSET FUNDERS NETWORK VARIOUS LITTLE ROCK, AR 72201		PC	TO LEVERAGE LOCAL AND NATIONAL FUNDS TO SUPPORT ADVOCACY FOR A STATE EARNED INCOME TAX CREDIT IN ARKANSAS	180,000.
ASSET FUNDERS NETWORK VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT THE ARKANSAS ASSET FUNDERS NETWORK PARTIPATION IN A NATIONAL COHORT OF NARRATIVE CHANGE	75,000.
CENTER FOR ARKASNAS LEGAL SERVICES VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
COMMUNITIES UNLIMITED VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE MATCHING FUNDS FOR USDA RURAL BUSINESS DEVELOPMENT GRANTS FOR ARKANSAS TO PROVIDE TECHNICAL	75,000.
COMMUNITIES UNLIMITED VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS WITH ACCESS TO	125,000.
COMMUNITIES UNLIMITED VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	130,000.
DECARCERATE VARIOUS LITTLE ROCK, AR 72201		PC	TO EMPOWER LEADERS TO CREATE A POLICY AGENDA TO DECRIMINALIZE POVERTY AND CONFRONT SYSTEMS OF INJUSTICE	40,000.
DECARCERATE VARIOUS LITTLE ROCK, AR 72201		PC	TOWORK WITH A TEAM OF CLINTON SCHOOL OF PUBLIC SERVICE STUDENTS TO CREATE A REPORT ON THE	5,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DELTA CIRCLES, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	50,000.
DELTA COMMUNITY DEVELOPMENT AND LAW CENTER, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	40,000.
DEREK LEWIS FOUNDATION VARIOUS LITTLE ROCK, AR 72201		PF	TO ESTABLISH THE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE	25,000.
EAST ARKANSAS ENTERPRISE COMMUNITY, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	40,000.
ENDEAVOR FOUNDATION CHARITABLE SUPPORT VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE CASH REIMBURSEMENTS UP TO \$1,000 TO FAMILIES IN NORTHWEST ARKANSAS FOR FOOD, GAS,	25,000.
FINANCING OZARKS RURAL GROWTH AND ECONOMY (F.O.R.G.E.) VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS WITH ACCESS TO	100,000.
FORWARD ARKANSAS VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT FORWARD ARKANSAS IN FULFILLING ITS MISSION AND WORK PLAN TO IMPROVE EQUITY AND INCREASE STUDENT	750,000.
FOUNDATION FOR SOCIAL IMPACT VARIOUS LITTLE ROCK, AR 72201		PC	DEEPEN THE CAPACITY OF THE BLACK/BROWN POWER COALITION INFRASTRUCTURE THAT CENTERS COMMUNITY	150,000.
JUST COMMUNITIES FOR ARKANSAS VARIOUS LITTLE ROCK, AR 72201		PC	TO CREATE AN INCLUSIVE COMMUNITY VISION FOR A PLACE-BASED RACIAL EQUITY MOVEMENT IN RUSSELLVILLE, ARKANSAS	25,000.
LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT PARENT ENGAGEMENT AS THE CITY OF LITTLE ROCK AND ITS PARTNERS IMPLEMENT COMMUNITY SCHOOLS	75,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO PARTIALLY SUPPORT THE CHIEF EDUCATION OFFICER FOR THE CITY OF LITTLE ROCK TO CREATE AND IMPLEMENT A	15,000.
MISSION INVESTORS EXCHANGE VARIOUS LITTLE ROCK, AR 72201		PC	2020 MISSION INVESTORS EXCHANGE CONFERENCE SPONSORSHIP	5,000.
NATIONAL COUNCIL ON TEACHER QUALITY VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPLY STATE-BASED EARLY READING ADVOCATES AND POLICY MAKERS WITH ADVOCACY TOOLKITS TO INCREASE	5,000.
NIEGHBORHOOD FUNDERS GROUP VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT THE INTEGRATED RURAL STRATEGIES WORKING GROUP IN HIGHLIGHTING IMPORTANT SOCIAL	5,000.
NIEGHBORHOOD FUNDERS GROUP VARIOUS LITTLE ROCK, AR 72201		PC	2020 NFG CONVENING SPONSORSHIP	5,000.
PEOPLE TRUST VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE FINANCIAL PRODUCTS OTHERWISE NOT AVAILABLE TO RESIDENTS OF SOUTHWEST LITTLE ROCK AND THE 12TH	20,000.
PEOPLE TRUST VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS WITH ACCESS TO	90,000.
PEOPLE TRUST VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT ARKANSASS ONLY BLACK-OWNED COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION THROUGH INTERNAL	75,000.
PHOENIX YOUTH AND FAMILY SERVICES, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	40,000.
SOUTHEASTERN COUNCIL OF FOUNDATIONS VARIOUS LITTLE ROCK, AR 72201		PC	TO BUILD AN EQUITABLE SOUTH AND PROMOTE INNOVATIVE AND TRANSFORMATIVE PHILANTHROPY IN THE	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOUTHERN BANCORP COMMUNITY PARTNERS VARIOUS LITTLE ROCK, AR 72201		PC	TO PROCESS GRANT PAYMENTS AS PART OF THE ARKANSAS DEPARTMENT OF HUMAN SERVICES CARES ACT	10,000.
SOUTHERN BANCORP COMMUNITY PARTNERS VARIOUS LITTLE ROCK, AR 72201		PC	TO LEVERAGE NATIONAL RESOURCES TO BUILD THE CAPACITY OF SOCIAL JUSTICE LEADERS AND ADVOCATES	50,000.
UNIVERSITY OF ARKANSAS - OFFICE OF RESEARCH AND SPONSORED PROGRAMS VARIOUS LITTLE ROCK, AR 72201		NC	TO PRODUCE A 5-PART RADIO/PODCAST SERIES AND PANEL DISCUSSION TITLED THE MOVEMENT THAT NEVER WAS: A	30,000.
UNIVERSITY OF CENTRAL ARKANSAS VARIOUS LITTLE ROCK, AR 72201		NC	PARTNER WITH THE HARVARD DISRUPTIVE EQUITY EDUCATION PROJECT (HARVARD DEEP) TO LAUNCH UCA DEEP TO	75,000.
URBAN LEAGUE OF THE STATE OF ARKANSAS INC VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT THE URBAN LEAGUE OF ARKANSAS TO CARRY OUT ITS MISSION TO SUPPORT ADVOCACY, RESEARCH, AND SERVICES	80,000.
WINROCK INTERNATIONAL VARIOUS LITTLE ROCK, AR 72201		PC	TO COORDINATE EQUITABLE DEVELOPMENT WITHIN OPPORTUNITY ZONES IN ARKANSAS	50,000.
WOMEN'S FOUNDATION OF ARKANSAS VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE GENERAL OPERATING FUNDS FOR ITS MISSION TO SUPPORT WOMEN AND GIRLS IN ARKANSAS TO REALIZE	100,000.
WOMEN'S FOUNDATION OF ARKANSAS VARIOUS LITTLE ROCK, AR 72201		PC	TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS WITH ACCESS TO	25,000.
UNRESTRICTED GRANTS UNDER \$5,000 VARIOUS LITTLE ROCK, AR 72201		PC	UNRESTRICTED GRANTS UNDER \$5,000	20,070.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ARKANSAS COMMUNITY INTSITUTE. VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
ARKANSAS JUSTICE COLLECTIVE VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	12,500.
ARKANSAS UNITED COMMUNITY COALITION VARIOUS LITTLE ROCK, AR 72201		PC	BUILD AND SUSTAIN THE CAPACITY OF IMMIGRANT-LED AND -SERVING ORGANIZATIONS THAT CENTER IMMIGRANT	50,000.
ASSET FUNDERS NETWORK VARIOUS LITTLE ROCK, AR 72201		PC	TO LEVERAGE LOCAL AND NATIONAL FUNDS TO SUPPORT ADVOCACY FOR A STATE EARNED INCOME TAX CREDIT IN ARKANSAS	20,000.
CAPACITY FOR EQUITY FUND VARIOUS LITTLE ROCK, AR 72201		NC	2021 CAPACITY FOR EQUITY FUND	250,000.
CCCUA FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	2020 ROSA VELASQUEZ BOARD OF DIRECTORS HONORARIUM	10,000.
CENTER FOR ARKASNAS LEGAL SERVICES VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
COMMUNITIES UNLIMITED VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	105,000.
DECARCERATE VARIOUS LITTLE ROCK, AR 72201		PC	TO EMPOWER LEADERS TO CREATE A POLICY AGENDA TO DECRIMINALIZE POVERTY AND CONFRONT SYSTEMS OF INJUSTICE	40,000.
DELTA CIRCLES, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	35,000.
Total from continuation sheets				1,262,500.

Part XV Supplementary Information

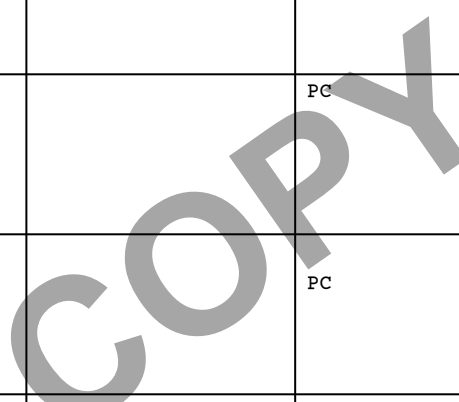
3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DELTA CIRCLES, INC. VARIOUS LITTLE ROCK, AR 72201		PC	2020 KILOLO KIJAKAZI BOARD OF DIRECTORS HONORARIUM	10,000.
DELTA COMMUNITY DEVELOPMENT AND LAW CENTER, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	20,000.
EAST ARKANSAS ENTERPRISE COMMUNITY, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	20,000.
FOUNDATION FOR SOCIAL IMPACT VARIOUS LITTLE ROCK, AR 72201		PC	DEEPEN THE CAPACITY OF THE BLACK/BROWN POWER COALITION INFRASTRUCTURE THAT CENTERS COMMUNITY	150,000.
HISPANIC WOMEN'S ORGANIZATION OF ARKANSAS VARIOUS LITTLE ROCK, AR 72201		PC	2020 ROSA VELASQUEZ BOARD OF DIRECTORS HONORARIUM	10,000.
HOPE ENTERPRISE CORPORATION VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT PARENT ENGAGEMENT AS THE CITY OF LITTLE ROCK AND ITS PARTNERS IMPLEMENT COMMUNITY SCHOOLS	75,000.
PEOPLE TRUST VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT ARKANSASS ONLY BLACK-OWNED COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION THROUGH INTERNAL	75,000.
PHILANDER SMITH COLLEGE VARIOUS LITTLE ROCK, AR 72201		PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE	50,000.
PHOENIX YOUTH AND FAMILY SERVICES, INC. VARIOUS LITTLE ROCK, AR 72201		PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE POLICY ACADEMIES VARIOUS LITTLE ROCK, AR 72201		PC	2020 KILOLO KIJAKAZI BOARD OF DIRECTORS HONORARIUM	10,000.
POTLUCK AND POISON IVY VARIOUS LITTLE ROCK, AR 72201		PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS	25,000.
SHORTER COLLEGE VARIOUS LITTLE ROCK, AR 72201		PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE	50,000.
SOUTHERN BANCORP COMMUNITY PARTNERS VARIOUS LITTLE ROCK, AR 72201		PC	TO LEVERAGE NATIONAL RESOURCES TO BUILD THE CAPACITY OF SOCIAL JUSTICE LEADERS AND ADVOCATES	50,000.
UNIVERSITY OF ARKANSAS AT PINE BLUFF VARIOUS LITTLE ROCK, AR 72201		PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE	50,000.
WINROCK INTERNATIONAL VARIOUS LITTLE ROCK, AR 72201		PC	TO COORDINATE EQUITABLE DEVELOPMENT WITHIN OPPORTUNITY ZONES IN ARKANSAS	50,000.
Total from continuation sheets				



Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ADVOCATES FOR COMMUNITY AND RURAL EDUCATION
TO SUPPORT THE RURAL COMMUNITY ALLIANCES TO CARRY OUT ITS MISSION TO
HELP RURAL SCHOOLS AND COMMUNITIES THRIVE THROUGH PARENT AND YOUTH
ORGANIZING, PARENT AND RESIDENT ENGAGEMENT, AND EDUCATION ADVOCACY

NAME OF RECIPIENT - ARKANSAS ADVOCATES FOR CHILDREN AND FAMILIES
TO GROW PUBLIC WILL AND NARRATIVE CHANGE INFRASTRUCTURE TO SUPPORT
MOVEMENT BUILDING FOR ALICE FAMILIES

NAME OF RECIPIENT - ARKANSAS COMMUNITY ACTION AGENCIES ASSOCIATION, INC
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS COMMUNITY FOUNDATION, INC.
TO PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS IMPACT PHILANTHROPY AS
IT IDENTIFIES AND TAKES ON ADDITIONAL COLLABORATIVE PHILANTHROPIC
STRATEGIES TO ADVANCE EQUITY

NAME OF RECIPIENT - ARKANSAS COMMUNITY FOUNDATION, INC.
TO SERVE AS FISCAL SPONSOR FOR THE EXCEL BY EIGHT INITIATIVE TO
INCREASE HEALTH AND EDUCATION OUTCOMES AND DECREASE EXISTING GAPS
ACROSS GENDER, INCOME, RACE/ETHNICITY, AND GEOGRAPHY, FOR CHILDREN AGES
BIRTH TO 8.

NAME OF RECIPIENT - ARKANSAS COMMUNITY FOUNDATION, INC.
TO SUPPORT DIRECT SERVICES, ADVOCACY, AND ORGANIZING SO THAT IMMIGRANTS
AND REFUGEES CAN FULLY PARTICIPATE IN A THRIVING AND PROSPEROUS STATE

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THAT BENEFITS ALL ARKANSANS

NAME OF RECIPIENT - ARKANSAS COMMUNITY FOUNDATION, INC.

TO SUPPORT URGENT FUNDING NEEDS OF LGBTQ-LED AND -SERVING NONPROFIT ORGANIZATIONS IN ARKANSAS BY PROVIDING STABILIZATION GRANTS IN RESPONSE TO THE IMPACTS OF COVID-19

NAME OF RECIPIENT - ARKANSAS COMMUNITY FOUNDATION, INC.

TO LEVERAGE INDIVIDUAL AND PHILANTHROPIC FUNDS TO SUPPORT NONPROFIT ORGANIZATIONS ACTIVELY RESPONDING TO ARKANSANS IN NEED

NAME OF RECIPIENT - ARKANSAS COMMUNITY INTSITUTE.

TO DEVELOP COMMUNITY-DRIVEN PROBLEM-SOLVING AND STRENGTHEN NARRATIVE CAPACITY OF GRASSROOTS LEADERS ENGAGED IN CHALLENGING THE ROOT CAUSES OF INEQUITY

NAME OF RECIPIENT - ARKANSAS COMMUNITY INTSITUTE.

BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS JUSTICE COLLECTIVE

BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS REGIONAL INNOVATION HUB, INC

TO PROVIDE RESIDENTS ALONG THE 12TH STREET CORRIDOR WITH THE TOOLS

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NECESSARY TO ACCESS ECONOMIC AND EDUCATIONAL OPPORTUNITIES BY
BROADCASTING WIFI THROUGH A MOBILE MAKERSPACE

NAME OF RECIPIENT - ARKANSAS UNITED COMMUNITY COALITION
BUILD AND SUSTAIN THE CAPACITY OF IMMIGRANT-LED AND -SERVING
ORGANIZATIONS THAT CENTER IMMIGRANT VOICE THROUGH LEADERSHIP
DEVELOPMENT AND POWER BUILDING

NAME OF RECIPIENT - ARKANSAS UNITED COMMUNITY COALITION
TO SUPPORT THE ORGANIZING INFRASTRUCTURE IN ARKANSAS FOR LONG-TERM
STRUCTURAL ECONOMIC REFORM AND BRING AWARENESS TO BARRIERS FACED BY
ALICE WORKERS IN THE STATES ESSENTIAL WORKER POPULATION

NAME OF RECIPIENT - ASSET FUNDERS NETWORK
TO SUPPORT A LOCAL COORDINATOR FOR THE ARKANSAS ASSET FUNDERS NETWORK
WHO WILL OVERSEE THE STEERING COMMITTEE, MEMBERSHIP AND PROGRAMS TO
ADVANCE ECONOMIC EQUITY IN ARKANSAS.

NAME OF RECIPIENT - ASSET FUNDERS NETWORK
TO SUPPORT THE ARKANSAS ASSET FUNDERS NETWORK PARTIPATION IN A NATIONAL
COHORT OF NARRATIVE CHANGE COLLABORATORS TO BUILD A NETWORK OF FUNDERS,
BUSINESSES, BANKS, AND POLICY-MAKER CHAMPIONS FOR AN ASSET LIMITED
INCOME CONSTRAINED EMPLOYED (ALICE) AGENDA AND TO MOBILIZE DATA-DRIVEN
CHNGE ON BARRIERS TO ALICE FAMILIES.

NAME OF RECIPIENT - CENTER FOR ARKASNAS LEGAL SERVICES
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - COMMUNITIES UNLIMITED

TO PROVIDE MATCHING FUNDS FOR USDA RURAL BUSINESS DEVELOPMENT GRANTS FOR ARKANSAS TO PROVIDE TECHNICAL ASSISTANCE PROGRAMS TO ENTREPRENEURS AND TO CREATE AND STRENGTHEN ENTREPRENEURIAL ECOSYSTEMS IN THREE DELTA COMMUNITIES.

NAME OF RECIPIENT - COMMUNITIES UNLIMITED

TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS WITH ACCESS TO IMMEDIATE, AFFORDABLE LOANS AND GRANTS TO ADDRESS LOSSES IN REVENUE, MAKE PAYROLL, ALLOW FOR ADJUSTMENTS IN SERVICE OR PRODUCT DELIVERY, AND ADDRESS BASIC NEEDS

NAME OF RECIPIENT - COMMUNITIES UNLIMITED

TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC

NAME OF RECIPIENT - DECARCERATE

TO WORK WITH A TEAM OF CLINTON SCHOOL OF PUBLIC SERVICE STUDENTS TO CREATE A REPORT ON THE COLLATERAL CONSEQUENCES OF FINES AND FEES IN PULASKI COUNTY DISTRICT COURTS

NAME OF RECIPIENT - DELTA CIRCLES, INC.

TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - DELTA COMMUNITY DEVELOPMENT AND LAW CENTER, INC.
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - EAST ARKANSAS ENTERPRISE COMMUNITY, INC.
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - ENDEAVOR FOUNDATION CHARITABLE SUPPORT
TO PROVIDE CASH REIMBURSEMENTS UP TO \$1,000 TO FAMILIES IN NORTHWEST
ARKANSAS FOR FOOD, GAS, RENT/HOUSING, AND UTILITIES THROUGH THE HARK AT
ENDEAVOR SOCIAL SERVICES INFRASTRUCTURE

NAME OF RECIPIENT - FINANCING OZARKS RURAL GROWTH AND ECONOMY
(F.O.R.G.E.)
TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS
WITH ACCESS TO IMMEDIATE, AFFORDABLE LOANS AND GRANTS TO ADDRESS LOSSES
IN REVENUE, MAKE PAYROLL, ALLOW FOR ADJUSTMENTS IN SERVICE OR PRODUCT
DELIVERY, AND ADDRESS BASIC NEEDS

NAME OF RECIPIENT - FORWARD ARKANSAS
TO SUPPORT FORWARD ARKANSAS IN FULFILLING ITS MISSION AND WORK PLAN TO
IMPROVE EQUITY AND INCREASE STUDENT ACHIEVEMENT AND ECONOMIC PROSPERITY
FOR ARKANSAS; TO SERVE IN THE ROLES OF CATALYST, CONVENER, AND
TRUTH-TELLER; AND TO ENGAGE AND EMPOWER COMMUNITIES AND DELIVER RESULTS

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THROUGH SYSTEMIC CHANGE.

NAME OF RECIPIENT - FOUNDATION FOR SOCIAL IMPACT

DEEPEN THE CAPACITY OF THE BLACK/BROWN POWER COALITION INFRASTRUCTURE THAT CENTERS COMMUNITY VOICE THROUGH LEADERSHIP DEVELOPMENT AND POWER BUILDING

NAME OF RECIPIENT - LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC.

TO SUPPORT PARENT ENGAGEMENT AS THE CITY OF LITTLE ROCK AND ITS PARTNERS IMPLEMENT COMMUNITY SCHOOLS WITHIN THE LITTLE ROCK SCHOOL DISTRICT

NAME OF RECIPIENT - LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC.

TO PARTIALLY SUPPORT THE CHIEF EDUCATION OFFICER FOR THE CITY OF LITTLE ROCK TO CREATE AND IMPLEMENT A PARENT ENGAGEMENT STRATEGY

NAME OF RECIPIENT - NATIONAL COUNCIL ON TEACHER QUALITY

TO SUPPLY STATE-BASED EARLY READING ADVOCATES AND POLICY MAKERS WITH ADVOCACY TOOLKITS TO INCREASE THE AWARENESS OF THE NEED FOR TEACHER CANDIDATES TO BE PREPARED TO TEACH READING USING EVIDENCE-BASED METHODS

NAME OF RECIPIENT - NIEGHBORHOOD FUNDERS GROUP

TO SUPPORT THE INTEGRATED RURAL STRATEGIES WORKING GROUP IN HIGLIGHING IMPORTANT SOCIAL JUSTICE MOVEMENTS COMING FROM AND TO RURAL COMMUNITIES AND TO INFLUENCE THE PHILANTRHOPIC SECTOR'S APPROACH TO FUNDING RURAL ORGANIZING.

NAME OF RECIPIENT - PEOPLE TRUST

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TO PROVIDE FINANCIAL PRODUCTS OTHERWISE NOT AVAILABLE TO RESIDENTS OF
SOUTHWEST LITTLE ROCK AND THE 12TH STREET CORRIDOR TO MEET THE SHORT-
AND LONG-TERM FINANCIAL NEEDS OF FAMILIES SEEKING TO GROW ASSETS

NAME OF RECIPIENT - PEOPLE TRUST

TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS
WITH ACCESS TO IMMEDIATE, AFFORDABLE LOANS AND GRANTS TO ADDRESS LOSSES
IN REVENUE, MAKE PAYROLL, ALLOW FOR ADJUSTMENTS IN SERVICE OR PRODUCT
DELIVERY, AND ADDRESS BASIC NEEDS

NAME OF RECIPIENT - PEOPLE TRUST

TO SUPPORT ARKANSAS ONLY BLACK-OWNED COMMUNITY DEVELOPMENT FINANCIAL
INSTITUTION THROUGH INTERNAL CAPACITY BUILDING TO STIMULATE EXPANSION
AND GROWTH

NAME OF RECIPIENT - PHOENIX YOUTH AND FAMILY SERVICES, INC.

TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - SOUTHEASTERN COUNCIL OF FOUNDATIONS

TO BUILD AN EQUITABLE SOUTH AND PROMOTE INNOVATIVE AND TRANSFORMATIVE
PHILANTHROPY IN THE REGION.

NAME OF RECIPIENT - SOUTHERN BANCORP COMMUNITY PARTNERS

TO PROCESS GRANT PAYMENTS AS PART OF THE ARKANSAS DEPARTMENT OF HUMAN
SERVICES CARES ACT GRANT PROGRAM FOR NONPROFIT ORGANIZATIONS PROVIDING
COVID RELIEF SERVICES IN LOW WEALTH COMMUNITIES

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - UNIVERSITY OF ARKANSAS - OFFICE OF RESEARCH AND
SPONSORED PROGRAMS

TO PRODUCE A 5-PART RADIO/PODCAST SERIES AND PANEL DISCUSSION TITLED
THE MOVEMENT THAT NEVER WAS: A PEOPLES GUIDE TO ANTI-RACISM IN THE
SOUTH AND ARKANSAS

NAME OF RECIPIENT - UNIVERSITY OF CENTRAL ARKANSAS

PARTNER WITH THE HARVARD DISRUPTIVE EQUITY EDUCATION PROJECT (HARVARD
DEEP) TO LAUNCH UCA DEEP TO EXAMINE INEQUITY AND SYSTEM DISRUPTION
WITHIN THE UCA TEACHER PREPARATION PROGRAM AND OTHER PROGRAMS STATEWIDE

NAME OF RECIPIENT - URBAN LEAGUE OF THE STATE OF ARKANSAS INC

TO SUPPORT THE URBAN LEAGUE OF ARKANSAS TO CARRY OUT ITS MISSION TO
SUPPORT ADVOCACY, RESEARCH, AND SERVICES FOR BLACK AND BROWN
COMMUNITIES SO THAT ALL FAMILIES CAN PARTICIPATE IN A THRIVING AND
PROSPEROUS STATE THAT BENEFITS ALL ARKANSANS

NAME OF RECIPIENT - WOMEN'S FOUNDATION OF ARKANSAS

TO PROVIDE GENERAL OPERATING FUNDS FOR ITS MISSION TO SUPPORT WOMEN AND
GIRLS IN ARKANSAS TO REALIZE AND ACHIEVE THEIR FULL POTENTIAL,
ADVANCING ECONOMIC EQUITY FOR WOMEN

NAME OF RECIPIENT - WOMEN'S FOUNDATION OF ARKANSAS

TO PROVIDE ENTREPRENEURS, SMALL BUSINESSES, AND CONSUMERS IN ARKANSAS
WITH ACCESS TO IMMEDIATE, AFFORDABLE LOANS AND GRANTS TO ADDRESS LOSSES
IN REVENUE, MAKE PAYROLL, ALLOW FOR ADJUSTMENTS IN SERVICE OR PRODUCT
DELIVERY, AND ADDRESS BASIC NEEDS

Part XV Supplementary Information

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ARKANSAS ADVOCATES FOR CHILDREN AND FAMILIES
TO GROW PUBLIC WILL AND NARRATIVE CHANGE INFRASTRUCTURE TO SUPPORT
MOVEMENT BUILDING FOR ALICE FAMILIES

NAME OF RECIPIENT - ARKANSAS BAPTIST COLLEGE
TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE
SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND
UNIVERSITIES

NAME OF RECIPIENT - ARKANSAS COMMUNITY ACTION AGENCIES ASSOCIATION, INC
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS COMMUNITY INTSITUTE.
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS JUSTICE COLLECTIVE
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - ARKANSAS UNITED COMMUNITY COALITION
BUILD AND SUSTAIN THE CAPACITY OF IMMIGRANT-LED AND -SERVING
ORGANIZATIONS THAT CENTER IMMIGRANT VOICE THROUGH LEADERSHIP
DEVELOPMENT AND POWER BUILDING

Part XV | **Supplementary Information**

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CENTER FOR ARKASNAS LEGAL SERVICES
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - COMMUNITIES UNLIMITED
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - DELTA CIRCLES, INC.
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - DELTA COMMUNITY DEVELOPMENT AND LAW CENTER, INC.
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - EAST ARKANSAS ENTERPRISE COMMUNITY, INC.
TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

NAME OF RECIPIENT - FOUNDATION FOR SOCIAL IMPACT
DEEPEN THE CAPACITY OF THE BLACK/BROWN POWER COALITION INFRASTRUCTURE

Part XV | **Supplementary Information**

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

THAT CENTERS COMMUNITY VOICE THROUGH LEADERSHIP DEVELOPMENT AND POWER
BUILDING

NAME OF RECIPIENT - HOPE ENTERPRISE CORPORATION

BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION
OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND
RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - LITTLE ROCK PUBLIC EDUCATION FOUNDATION, INC.

TO SUPPORT PARENT ENGAGEMENT AS THE CITY OF LITTLE ROCK AND ITS
PARTNERS IMPLEMENT COMMUNITY SCHOOLS WITHIN THE LITTLE ROCK SCHOOL
DISTRICT

NAME OF RECIPIENT - PEOPLE TRUST

TO SUPPORT ARKANSASS ONLY BLACK-OWNED COMMUNITY DEVELOPMENT FINANCIAL
INSTITUTION THROUGH INTERNAL CAPACITY BUILDING TO STIMULATE EXPANSION
AND GROWTH

NAME OF RECIPIENT - PHILANDER SMITH COLLEGE

TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE
SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND
UNIVERSITIES

NAME OF RECIPIENT - PHOENIX YOUTH AND FAMILY SERVICES, INC.

TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE
GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE
COVID-19 PANDEMIC

Part XV | **Supplementary Information**

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - POTLUCK AND POISON IVY

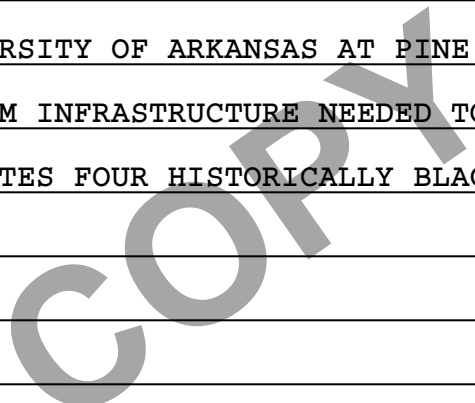
BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY

NAME OF RECIPIENT - SHORTER COLLEGE

TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES

NAME OF RECIPIENT - UNIVERSITY OF ARKANSAS AT PINE BLUFF

TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES



Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return. **FORM 990-PF**

2020

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment			
1 Total tax (see instructions)		1	88,920.
2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a		
b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b		
c Credit for federal tax paid on fuels (see instructions)	2c		
d Total. Add lines 2a through 2c		2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty		3	88,920.
4 Enter the tax shown on the corporation's 2019 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5		4	105,681.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3		5	88,920.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it does not owe a penalty. See instructions.	
6	The corporation is using the adjusted seasonal installment method.
7	The corporation is using the annualized income installment method.
8	The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment					
		(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions	9	07/15/20	07/15/20	09/15/20	12/15/20
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	22,230.	22,230.	22,230.	22,230.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	10,264.		37,000.	58,456.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12				
13 Add lines 11 and 12	13			37,000.	58,456.
14 Add amounts on lines 16 and 17 of the preceding column	14		11,966.	34,196.	19,426.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	10,264.	0.	2,804.	39,030.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		11,966.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	11,966.	22,230.	19,426.	
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18				

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2020 and before 7/1/2020	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 5\% (0.05)}{366}$	22 \$	\$	\$	\$
23 Number of days on line 20 after 6/30/2020 and before 10/1/2020	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{366}$	24 \$	\$	\$	\$
25 Number of days on line 20 after 9/30/2020 and before 1/1/2021	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{366}$	26 \$	\$	\$	\$
27 Number of days on line 20 after 12/31/2020 and before 4/1/2021	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$	28 \$	\$	\$	\$
29 Number of days on line 20 after 3/31/2021 and before 7/1/2021	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30 \$	\$	\$	\$
31 Number of days on line 20 after 6/30/2021 and before 10/1/2021	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32 \$	\$	\$	\$
33 Number of days on line 20 after 9/30/2021 and before 1/1/2022	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34 \$	\$	\$	\$
35 Number of days on line 20 after 12/31/2021 and before 3/16/2022	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36 \$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37 \$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38 \$			314.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

FORM 990-PF
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET

Name(s) THE WINTHROP ROCKEFELLER FOUNDATION					Identifying Number 71-0285871
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty
		-0-			
07/15/20	22,230.	22,230.			
07/15/20	22,230.	44,460.			
07/15/20	-10,264.	34,196.	61	.000081967	171.
09/14/20	-37,000.	-2,804.			
09/15/20	22,230.	19,426.	90	.000081967	143.
12/14/20	-58,456.	-39,030.			
12/15/20	22,230.	-16,800.			
12/31/20	0.	-16,800.	132	.000082192	
05/12/21	-10,000.	-26,800.			
Penalty Due (Sum of Column F)					314.

COPY

* Date of estimated tax payment, withholding credit date or installment due date.

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUBLICLY TRADED SECURITIES					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
	25,451,976.	23,326,040.	0.	0.	2,125,936.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PROGRAM-RELATED INVESTMENTS					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
	43,125.	0.	0.	0.	43,125.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - OWL VENTURES II, LP					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
	0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - BAIN CAPITAL DOUBLE IMPACT FUND					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
	415,445.	-54,345.	0.	0.	469,790.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - GQG PARTNERS			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,820,589.	1,820,589.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - OWNERSHIP CAPITAL			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
261,413.	261,413.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES III			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES II, LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
331,358.	331,358.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - FUND FOR ARKANSAS' FUTURE			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
30,552.	30,552.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - ELEVAR EQUITY			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
68,852.	68,852.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - IMPACT AMERICA			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
13,496.	13,496.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - SJF VENTURES IV			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
380,831.	379,365.	0.	0.	1,466.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - STEWART INVESTORS GEMSL FUND			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	-81,297.	0.	0.	81,297.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - NEWTYN TE PARTNERS LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
269,590.	269,590.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
K-1 - ILLUMEN CAPITAL					
	4,879.	62,834.	0.	0.	-57,955.

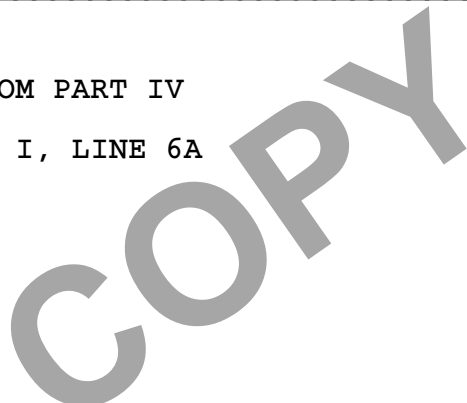
(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
K-1 - PRESERVER LP					
	0.	0.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV

12,270.

TOTAL TO FORM 990-PF, PART I, LINE 6A

2,675,929.



FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BAIN CAPITAL DOUBLE IMPACT INTEREST INCOME	0.	5,265.	0.
BRECKINRIDGE CAPITAL ADVISORS INTEREST INCOME	157,092.	152,003.	0.
CCM INTEREST INCOME	126,262.	1,639.	0.
GQG INTERNATIONAL INTEREST INCOME	1.	1,127.	0.
INTEREST ON CHECKING ACCOUNT	1,111.	1,111.	0.
NORTHERN TRUST CASH	0.	5,363.	0.
NORTHERN TRUST STIF INTEREST INCOME	8,254.	8,254.	0.
NT BARCLAYS AGG INTEREST INCOME	128,799.	113,595.	0.
NTAM RUSSELL 1000 VALUE INTEREST INCOME	18,870.	827.	0.
NTGI GROWTH RUSSELL 1000 VALUE INTEREST INCOME	0.	599.	0.
OAKVIEW INTEREST INCOME	3,547.	2,422.	0.
OWL VENTURES II INTEREST INCOME	0.	552.	0.
PASSTHROUGH INTEREST INCOME	0.	217,957.	0.
TOTAL TO PART I, LINE 3	443,936.	510,714.	0.

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ARIEL INT'L	207,944.	0.	207,944.	234,156.	0.
AVANATH AHF IV	43.	0.	43.	0.	0.
BARCLAY AGGREGATE BOND FUND	0.	0.	0.	12.	0.
BLACKROCK ISHARES MSCI EM ESG OPZ	106,823.	0.	106,823.	120,304.	0.
BRECKINRIDGE CAPITAL ADVISORS	0.	0.	0.	149.	0.
BROWN SUSTAINABLE GROWTH FUND	1,487.	0.	1,487.	0.	0.
CCM	0.	0.	0.	122,913.	0.
GQG INTERNATIONAL	0.	0.	0.	207,067.	0.
NORTHERN TRUST CASH	0.	12,270.	-12,270.	-9,652.	0.
NTAM R1000 VALUE FUND	231,789.	0.	231,789.	237,830.	0.
NTGI RUSSELL 1000 GROWTH	125,985.	0.	125,985.	117,698.	0.
OAKVIEW VALUE PASSTHROUGH	110,244.	0.	110,244.	103,424.	0.
DIVIDEND INCOME	0.	0.	0.	101,934.	0.
RETHINK IMPACT FUND II	166.	0.	166.	0.	0.
STEWART GEM FUND	22,861.	0.	22,861.	0.	0.
TO PART I, LINE 4	807,342.	12,270.	795,072.	1,235,835.	0.

FORM 990-PF

OTHER INCOME

STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PRI INTEREST INCOME	17,003.	17,003.	0.
PRI DIVIDEND INCOME	87,452.	87,452.	0.
OTHER INCOME - AQR STYLE PREMIA	0.	-589.	0.
OTHER INCOME - CCM	0.	1,711.	0.
OTHER INCOME - PASSTHROUGH	0.	-791,104.	0.
OTHER INCOME - BROWN	0.	1,487.	0.
OTHER INCOME - BRECKINRIDGE	0.	-3,856.	0.
OTHER INCOME - NORTHERN TRUST	0.	-9,301.	0.
OTHER INCOME - OAKVIEW	0.	-25,329.	0.
MISCELLANEOUS INCOME	-27,946.	-1,856.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	76,509.	-724,382.	0.

FORM 990-PF

LEGAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL SERVICES	7,547.	4,165.	0.	3,382.
TO FM 990-PF, PG 1, LN 16A	7,547.	4,165.	0.	3,382.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	7,369.	0.	0.	7,369.
AUDIT	45,400.	36,320.	0.	9,080.
TAX PREPERATION	7,299.	0.	0.	7,299.
TO FORM 990-PF, PG 1, LN 16B	60,068.	36,320.	0.	23,748.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL BANK FEES	100,214.	130,051.	0.	0.
INVESTMENT OVERSIGHT	262,043.	192,956.	0.	0.
NT INVESTMENT MANAGEMENT FEES	16,241.	16,226.	0.	0.
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	5,693.	5,479.	0.	0.
OAKVIEW MANAGEMENT FEES	86,435.	32,562.	0.	0.
STEWART GEM FEES	41,759.	41,759.	0.	0.
INVESTMENT EXPENSES ALLOCATION	142,891.	142,891.	0.	0.
OTHER CONSULTING FEES	158,447.	0.	0.	158,447.
TO FORM 990-PF, PG 1, LN 16C	813,723.	561,924.	0.	158,447.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	0.	77,910.	0.	0.
EXCISE TAXES	63,721.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	63,721.	77,910.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	71.	0.	0.	71.
INSURANCE	17,227.	0.	0.	17,227.
POSTAGE	118.	0.	0.	118.
DUES & SUBSCRIPTIONS	101,556.	10,000.	0.	91,556.
OFFICE EXPENSES	24,856.	0.	0.	24,869.
PROGRAM EXPENSES	273,324.	0.	0.	273,324.
ACCRUAL TO CASH ADJUSTMENT	0.	0.	0.	-50,020.
MEETINGS AND CONVENTIONS	192,499.	0.	0.	192,499.
PROFESSIONAL DEVELOPMENT	95,029.	20.	0.	95,009.
TECHNOLOGY	80,064.	0.	0.	80,064.
TRUSTEE OPERATIONS EXPENSE	11,112.	0.	0.	11,112.
BOARD COMMITTEE SUPPORT	4,550.	0.	0.	4,550.
TO FORM 990-PF, PG 1, LN 23	800,406.	10,020.	0.	740,379.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 10

DESCRIPTION	AMOUNT
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	14,041,992.
TOTAL TO FORM 990-PF, PART III, LINE 3	14,041,992.

FORM 990-PF

DEPRECIATION OF ASSETS HELD FOR INVESTMENT

STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
OFF 216 31" FIREPROOF FILE DRAWER	1,670.	1,670.	0.	0.
SHARP MX 4101 COPIER	10,393.	10,393.	0.	0.
RECEPTION QUOIN SIDE CHAIRS	1,600.	1,600.	0.	0.
RECEPTION QUOIN SIDE CHAIRS	1,600.	1,600.	0.	0.
RECEPTION SIDE TABLE	597.	597.	0.	0.
RECEPTION SIDE TABLE	597.	597.	0.	0.
RECEPTION COFFEE TABLE	732.	732.	0.	0.
RECEPTION OCCASIONAL TABLE UNDER TV	596.	596.	0.	0.
RECEPTION SOFA	2,742.	2,742.	0.	0.
RECEPTION KATHRYN GUEST CHAIR	749.	749.	0.	0.
RECEPTION KATHRYN GUEST CHAIR	749.	749.	0.	0.
RECEPTION OVERHEAD CABINET	2,379.	2,379.	0.	0.
RECEPTION LATERAL FILES & MODULAR TOP	824.	824.	0.	0.
RECEPTION LATERAL FILES & MODULAR TOP	281.	281.	0.	0.
RECEPTION DESK	2,563.	2,563.	0.	0.
HALLWAY MODULAR MOBILE OTTOMAN	1,963.	1,963.	0.	0.
BREAKROOM 4 TALL STOOLS	1,046.	1,046.	0.	0.
BREAKROOM 2 TABLES	916.	916.	0.	0.
BREAKROOM CATERING CART	611.	611.	0.	0.
2 DRAWER LATERALS WITH COMMON TOP	2,465.	2,465.	0.	0.
OFF 205 COMBINATION CABINET	979.	979.	0.	0.
OFF 205 OVERHEAD STORAGE UNIT	1,617.	1,617.	0.	0.
OFF 205 CREDENZA & DESK UNIT	2,338.	2,338.	0.	0.
OFF 205 SIDE CHAIRS	709.	709.	0.	0.
OFF 206 CREDENZA & DESK UNIT	2,247.	2,247.	0.	0.

OFF 206 DOUBLE CREDENZA UNIT	1,286.	1,286.	0.	0.
OFF 206 DOUBLE OVERHEAD UNIT	1,618.	1,618.	0.	0.
OFF 206 OVERHEAD STORAGE UNIT	1,617.	1,617.	0.	0.
OFF 207 2 SIDE CHAIRS	709.	709.	0.	0.
OFF 207 DOUBLE OVERHEAD UNIT	1,617.	1,617.	0.	0.
OFF 207 CREDENZA & DESK UNIT	2,643.	2,643.	0.	0.
OFF 207 2 DRAWER CREDENZA	643.	643.	0.	0.
OFF 209 5 SIDE CHAIRS	1,772.	1,772.	0.	0.
OFF 209 CREDENZA & DESK UNIT	2,671.	2,671.	0.	0.
OFF 209 2 DRAWER CREDENZA	643.	643.	0.	0.
OFF 209 OVERHEAD STORAGE UNIT	1,617.	1,617.	0.	0.
OFF 210 SIDE CHAIRS	354.	354.	0.	0.
OFF 210 SIDE CHAIRS	354.	354.	0.	0.
OFF 210 SIDE CHAIRS	354.	354.	0.	0.
OFF 210 SIDE CHAIRS	354.	354.	0.	0.
OFF 218 DESK P-TOP	695.	695.	0.	0.
OFF 218 DESK BRIDGE	433.	433.	0.	0.
OFF 218 CREDENZA COMBO FILE	718.	718.	0.	0.
OFF 210 BOOKCASE	402.	402.	0.	0.
OFF 210 OVERHEAD STORAGE UNIT	1,567.	1,567.	0.	0.
OFF 210 SMALL MEETING TABLE	286.	286.	0.	0.
OFF 209 SMALL MEETING TABLE	286.	286.	0.	0.
211 TEAM ROOM EASEL (ROLLING)	584.	584.	0.	0.
OFF 212 CREDENZA & DESK UNIT	2,319.	2,319.	0.	0.
OFF 212 CREDENZAS (2 2DRAWER W/ COMMON TOP)	1,643.	1,643.	0.	0.
OFF 212 COMBINATION CABINET	1,038.	1,038.	0.	0.
OFF 212 OVERHEAD STORAGE UNIT	1,617.	1,617.	0.	0.
OFF 212 SIDE CHAIR	354.	354.	0.	0.
OFF 213 ALINA LOUNGE CHAIRS (2)	3,146.	3,146.	0.	0.
OFF 213 SIDE CHAIRS	354.	354.	0.	0.
OFF 213 SIDE CHAIRS	354.	354.	0.	0.
OFF 213 SIDE CHAIRS	354.	354.	0.	0.
OFF 213 OVERHEAD UNIT 60"	834.	834.	0.	0.
OFF 213 CREDENZA & DESK	2,296.	2,296.	0.	0.
OFF 213 CREDENZAS (2DRAWER) & TOP	1,713.	1,713.	0.	0.
OFF 214 SIDE CHAIR	354.	354.	0.	0.
OFF 214 BOX FILE & DESK	1,980.	1,980.	0.	0.
OFF 214 OVERHEAD UNITS	1,617.	1,617.	0.	0.
OFF 214 3 DRAWER FILES WITH COMMON TOP	2,160.	2,160.	0.	0.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

OFF 215 SIDE CHAIRS (5)	1,772.	1,772.	0.	0.
OFF 215 COMBO BOX FILE & DESK	2,226.	2,226.	0.	0.
OFF 215 CREDENZAS & COMMON TOP	1,713.	1,713.	0.	0.
OFF 215 OVERHEAD UNIT	1,707.	1,707.	0.	0.
OFF 215 SMALL MEETING TABLE	286.	286.	0.	0.
OFF 213 SMALL MEETING TABLE	286.	286.	0.	0.
OFF 216 HIGH BACK CHAIR	486.	486.	0.	0.
OFF 216 WALL STORAGE & FILES WITH COMMON TOP	2,919.	2,919.	0.	0.
OFF 216 CENTER LATERAL FILES WITH COMMON TOP	2,441.	2,441.	0.	0.
OFF 218 LIBRARY SIDE CHAIRS (6)	2,126.	2,126.	0.	0.
OFF 218 LIBRARY BOOKCASES (2)	664.	664.	0.	0.
217 EXECUTIVE HALLWAY TABLE	408.	408.	0.	0.
217 EH TABLET CHAIRS - BLUE FABRIC	3,142.	3,142.	0.	0.
217 EH TABLET CHAIRS - BROWN PATTERN	4,117.	4,117.	0.	0.
211 TEAM RM JENNY TABLET CHAIRS - SAND FABRIC	1,418.	1,418.	0.	0.
PROG HALL TABLET CHAIRS - BROWN PATTERN FABRIC	4,117.	4,117.	0.	0.
OFFICE SIDE CHAIR	407.	407.	0.	0.
INNER PLAN FINAL PAYMENT	990.	990.	0.	0.
ART WORK FOR OFFICES	11,700.	11,700.	0.	0.
RECEPTION DESK ADDITIONS	4,250.	4,250.	0.	0.
NEW OFFICE FURNITURE: DESK	3,138.	3,138.	0.	0.
COMBINATION CABINET	1,606.	830.	776.	776.
CREDENZA P-TOP	894.	462.	432.	432.
CREDENZA BRIDGE	463.	239.	224.	224.
CREDENZA DESK	978.	505.	473.	473.
CREDENZA FILE	1,002.	517.	485.	485.
OVER HEAD CABINET	2,572.	1,329.	1,243.	1,243.
FRAMING / ARTWORK (HUNG 10/28)	2,646.	1,367.	1,279.	1,279.
CREDENZA FILE	470.	219.	251.	251.
CREDENZA L-TOP	1,737.	811.	926.	926.
CREDENZA DESK	910.	425.	485.	485.
CREDENZA 2 DRAWER FILE	1,080.	504.	576.	576.
CREDENZA 2 DRAWER FILE	470.	219.	251.	251.
CREDENZA DESK	1,039.	485.	554.	554.
CREDENZA L-TOP	1,737.	811.	926.	926.
CREDENZA 2 DRAWER FILE	1,080.	504.	576.	576.
NEW DESK CHAIRS - NEW OFFICE	886.	407.	479.	479.
NEW DESK CHAIRS - NEW OFFICE	886.	407.	479.	479.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.

THE WINTHROP ROCKEFELLER FOUNDATION71-0285871

MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
ODOLOGY INTERN DESK	1,178.	236.	942.	942.
ODOLOGY INTERN DESK	1,178.	236.	942.	942.
INTERN CABINET W/ COAT CLOSET	1,706.	342.	1,364.	1,364.
INTERN CABINET W/ COAT CLOSET	1,706.	342.	1,364.	1,364.
"ELEVEN" WHITE TRAPEZOID TABLE	4,431.	886.	3,545.	3,545.
COACT CORNER CURVED BENCH	2,484.	496.	1,988.	1,988.
COACT SOFA	2,844.	568.	2,276.	2,276.
COACT LOUNGE CHAIR	1,376.	276.	1,100.	1,100.
COACT LOVESEAT	2,141.	428.	1,713.	1,713.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
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MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
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MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
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MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
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MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
MASSUAD GREY CHAIR	1,307.	262.	1,045.	1,045.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
AKIRA BOARD ROOM TABLE	1,843.	368.	1,475.	1,475.
STEELCASE THREAD PRODUCT (ELECTRICAL)	4,683.	936.	3,747.	3,747.
INDIE SOFA & POUF	4,933.	986.	3,947.	3,947.
BRODY WORKING LOUNGE				
YELLOW CHAIR & POUF	1,942.	388.	1,554.	1,554.
REFRIGERATOR (PAID FOR IN FEB)	1,753.	350.	1,403.	1,403.
NEW AUDIO VIDEO EQUIPMENT	27,928.	5,586.	22,342.	22,342.
CREDENZA	2,565.	492.	2,073.	2,073.
RECYCLE/TRASH BINS FOR KITCHEN	1,683.	140.	1,543.	1,543.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FEATURE WALL	5,844.	5,844.	0.	0.
SOUND MASKING SYSTEM	6,798.	6,798.	0.	0.
DRAPER SHADES	18,679.	18,679.	0.	0.
PAYMENTS TO BALDWIN SHELL	66,804.	66,804.	0.	0.
PAYMENTS TO BALDWIN SHELL	22,334.	22,334.	0.	0.
EVIRONMANICS GLASS WALLS	27,098.	5,420.	21,678.	21,678.
PAYMENTS TO BS AND TO IN FEBRUARY 2019	157,155.	31,432.	125,723.	125,723.
NEW FEATURE WALL	5,360.	1,072.	4,288.	4,288.
ENTRANCE SIGN IN LOBBY	5,360.	1,072.	4,288.	4,288.
DRY ERASE BOARD FOR BOARD ROOM	2,522.	462.	2,060.	2,060.
DELL PC FTMJDA1 - INTERN	2,196.	2,196.	0.	0.
DELL PC WRFLITPC40 G01MDZ1 INTERN	2,196.	2,196.	0.	0.
IMAC DESKTOP - PBC	2,214.	2,214.	0.	0.
MAC MINI SERVER	1,633.	1,633.	0.	0.
MACBOOK AIR FOR SCM PROG DIMENSION	1,780.	1,780.	0.	0.
THUNDERBOLT DISPLAY - CA PROG DIMENSION	1,394.	1,394.	0.	0.
MACBOOK PRO - AD	2,931.	2,931.	0.	0.
WIRELESS ROUTER UPGRADES	4,650.	4,650.	0.	0.
NEW IMAC FOR RM PROG DIMENSION	2,151.	2,151.	0.	0.
NEW IMAC FOR MM PROG DIMENSION	1,536.	1,536.	0.	0.
NEW MACBOOK AIR FOR MM - NOW CC OR AC?	1,639.	1,639.	0.	0.
NEW FRONT DESK IMAC PROG DIMENSION	1,668.	1,668.	0.	0.
CONFERENCE ROOM PHONE	6,061.	5,724.	337.	337.
NEW IMAC FOR SHH PROG DIMENSION	2,200.	1,275.	925.	925.
SYNOLOGY DISK STATION /DRIVES FOR BACKUP	1,409.	509.	900.	900.
NEW MACBOOK PRO - AMD	2,518.	630.	1,888.	1,888.
IMAC FOR MLN - LEADERSHIP OFFICER	1,703.	426.	1,277.	1,277.
MACBOOK AIR - CA - CIO	1,985.	496.	1,489.	1,489.
MACBOOK AIR - RGM - CSO	1,985.	496.	1,489.	1,489.
NEW MACBOOK AIR - MLN - LO	1,985.	496.	1,489.	1,489.
NEW MACBOOK AIR - DL EO	1,985.	496.	1,489.	1,489.
IPAD PRO - SHH EO	1,569.	392.	1,177.	1,177.
IMAC DESKTOP - AMD	2,713.	603.	2,110.	2,110.
MACBOOK AIR - SWS	1,922.	427.	1,495.	1,495.
MACBOOK AIR - AC	1,922.	427.	1,495.	1,495.
DELL LATITUDE LAPTOP - KW	2,458.	68.	2,390.	2,390.
TO 990-PF, PART II, LN 11	652,858.	370,323.	282,535.	282,535.

FORM 990-PF

CORPORATE STOCK

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NTGI RUSSELL 1000 GROWTH EQUITY	12,293,441.	12,293,441.
NTAM R1000 VALUE FUND EQUITY	8,914,072.	8,914,072.
OAKVIEW	4,685,693.	4,685,693.
BROWN SUSTAINABLE GROWTH EQUITY	8,951,566.	8,951,566.
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,997,141.	4,997,141.
ARIEL INT'L FUND EQUITY	12,462,800.	12,462,800.
GQG INT'L FUND EQUITY	12,524,140.	12,524,140.
BLACKROCK ISHARES FUND EQUITY	5,391,282.	5,391,282.
OWNERSHIP CAPITAL FUND EQUITY	7,146,906.	7,146,906.
STEWART GLOBAL EMERGING MARKETS FUND EQUITY	5,826,546.	5,826,546.
MAJ INVEST GLOBAL EQUITY	6,500,000.	6,500,000.
FORT GLOBAL OFFSHORE FUND EQUITY	1,262,692.	1,262,692.
CIM ENTERPRISE LOAN FUND	3,568,530.	3,568,530.
LONG POND OFFSHORE FUND EQUITY	3,346,638.	3,346,638.
FARALLON F5 FUND	1,615,101.	1,615,101.
TWO SIGMA ABSOLUTE RETURN FUND	2,023,388.	2,023,388.
COATUE	3,003,195.	3,003,195.
LAKEWOOD	1,661,214.	1,661,214.
SENTINEL DOME	2,589,867.	2,589,867.
VARADERO	1,867,272.	1,867,272.
HOLLIS PARK	1,643,784.	1,643,784.
NETWYN	2,044,247.	2,044,247.
ELIZABETH PARK CAPITAL OFFSHORE	1,371,093.	1,371,093.
PRESERVER PARTNERS	2,128,489.	2,128,489.
DIAMOND STATE VENTURES III	925,000.	925,000.
OWL VENTURES II - PRIVATE PARTNERSHIP	962,185.	962,185.
SJF VENTURES IV PRIVATE PARTNERSHIP	1,846,460.	1,846,460.
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	1,186,410.	1,186,410.
MATERIAL IMPACT	901,994.	901,994.
ELEVAR EQUITY IV	1,291,287.	1,291,287.
ILLUMEN CAPITAL	339,121.	339,121.
IMPACT AMERICA	427,258.	427,258.
AVANATH AFFORDABLE HOUSING FUND IV	531,718.	531,718.
RETHINK IMPACT FUND II	76,997.	76,997.
MATERIAL IMPACT II	42,160.	42,160.
VISTA FOUNDATION FUND IV	7,335.	7,335.
SJF VENTURES V	150,000.	150,000.
TOTAL TO FORM 990-PF, PART II, LINE 10B	126,507,022.	126,507,022.

FORM 990-PF

CORPORATE BONDS

STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BRECKINRIDGE CAPITAL FIXED INCOME	5,426,632.	5,426,632.
CCM	6,173,665.	6,173,665.
NORTHERN TRUST BARCLAYS AGG BOND FUND	7,128,308.	7,128,308.
TOTAL TO FORM 990-PF, PART II, LINE 10C	18,728,605.	18,728,605.

FORM 990-PF

OTHER ASSETS

STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	7,296,042.	7,763,647.	7,763,647.
ACCRUED INTEREST RECEIVABLE	142,284.	90,769.	90,769.
TO FORM 990-PF, PART II, LINE 15	7,438,326.	7,854,416.	7,854,416.

COPY

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SHERECE WEST-SCANTLEBURY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 50.00	380,973.	56,401.	0.
ANDREA DOBSON 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	COFO 50.00	283,242.	48,904.	0.
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	SECRETARY 50.00	200,599.	33,986.	0.
CHRIS VINCENT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	CHAIR 2.77	0.	0.	0.
CHRIS CARDONA 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	VICE CHAIR 1.48	0.	0.	0.
MIKE RAMIREZ 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.78	0.	0.	0.
KILOLO KIJAKAZI 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.63	0.	0.	0.
CHARLOTTE GREEN 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.36	0.	0.	0.
MIKE MALONE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.58	0.	0.	0.
TERRY MAZANY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 0.79	0.	0.	0.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

WIN ROCKEFELLER, JR. DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.57 0. 0. 0.
LITTLE ROCK, AR 72201

KIISHA MARROW DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.55 0. 0. 0.
LITTLE ROCK, AR 72201

SHARON TOOMER DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.35 0. 0. 0.
LITTLE ROCK, AR 72201

LISENNE ROCKEFELLER DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.25 0. 0. 0.
LITTLE ROCK, AR 72201

ROSA VELAZQUEZ DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.52 0. 0. 0.
LITTLE ROCK, AR 72201

CEDRIC WILLIAMS DIRECTOR
225 EAST MARKHAM STREET, STE 200 1.15 0. 0. 0.
LITTLE ROCK, AR 72201

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

864,814. 139,291. 0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 16

GRANTEE'S NAME

DEREK LEWIS FOUNDATION

GRANTEE'S ADDRESSPO BOX 94602
NORTH LITTLE ROCK, AR 72190

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
25,000.	11/13/20	3,860.	12/31/20

PURPOSE OF GRANTTO SUPPORT THE DEREK LEWIS FOUNDATION WITH THE IMPLEMENTATION OF THE
CAPACITY FOR EQUITY: ARKANSAS BLACK PHILANTHROPY COLLABORATIVE.

COPY

RESULTS OF VERIFICATIONTHE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF
THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION
OF THE GRANTEE'S REPORT UNNECESSARY.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 17

ACTIVITY ONE

FORWARD ARKANSAS (15 ORGANIZATIONS ENGAGED):
 FORWARD ARKANSAS (FORWARD) IS AN INDEPENDENT NONPROFIT ORGANIZATION FOUNDED THROUGH A PARTNERSHIP OF THE WINTHROP ROCKEFELLER FOUNDATION, THE WALTON FAMILY FOUNDATION, AND THE ARKANSAS STATE BOARD OF EDUCATION. COMMITTED TO MAKING ARKANSAS A LEADING STATE IN EDUCATION AND TO CLOSING THE ACHIEVEMENT GAP WITHIN A GENERATION, FORWARD IS GUIDED BY A COMPREHENSIVE STRATEGIC PLAN FOR P-16 PUBLIC EDUCATION CREATED BY ARKANSAS RESIDENTS, EDUCATORS, BUSINESS LEADERS, AND POLICYMAKERS. IN 2020, WRF DEPLOYED A SENIOR WRF STAFF MEMBER TO SERVE AS INTERIM EXECUTIVE DIRECTOR TO REFOCUS THE ORGANIZATION ON EQUITY.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

270,360.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 18

ACTIVITY TWO

PHILANTHROPIC COLLABORATION (54 ORGANIZATIONS ENGAGED):
 WRF HAS DIRECTLY SUPPORTED THE INFRASTRUCTURE FOR FUNDERS TO HAVE COLLECTIVE IMPACT ON SIGNIFICANT CHALLENGES FACING THE STATE OF ARKANSAS. THROUGH GROUPS LIKE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE (ABPC), ARKANSAS ASSET FUNDERS NETWORK (AFN), ARKANSAS IMPACT PHILANTHROPY (AIP), AND DELTA PHILANTHROPY FORUM (DPF), THIS PHILANTHROPIC COLLABORATION HAS BROUGHT TOGETHER COALITIONS AND RESOURCES TO SUPPORT COVID RELIEF AND RECOVERY, INCREASED ACCESS TO CAPITAL FOR PEOPLE OF COLOR AND WOMEN, AND MORE RESOURCES FOR THE DELTA REGION.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

256,160.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 19

ACTIVITY THREE

ASSET LIMITED, INCOME CONSTRAINED, EMPLOYED "ALICE" (28 ORGANIZATIONS ENGAGED):

WRF CREATED THE ABC'S OF EQUITY (ALICE, BUSINESS CASE FOR EQUITY, AND CAPITAL ACCESS REPORT) TO QUANTIFY EXISTING EQUITY CHALLENGES AND TO IDENTIFY STRATEGIES TO OVERCOME THEM. IN PARTNERSHIP WITH ENTERGY ARKANSAS AND UNITED WAY, WRF RELEASED THE ALICE REPORT IN MARCH. ALICE HAS CREATED A COMMON FRAMEWORK FOR STAKEHOLDERS TO CONNECT TO ESSENTIAL WORKERS AND UNDERSTAND THE STRUCTURAL BARRIERS THEY FACE. WRF USED ALICE AS PART OF A LONG-TERM STRATEGY TO SUPPORT THESE STAKEHOLDERS TO COLLABORATE ON AN ECONOMIC EQUITY AGENDA.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

195,823.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 20

ACTIVITY FOUR

REIMAGINE ARKANSAS (2 ORGANIZATIONS ENGAGED):

CREATED BY WRF IN 2020, REIMAGINE ARKANSAS IS THE ONLY STATEWIDE INITIATIVE FOR NARRATIVE CHANGE THAT LISTENS TO THOSE MOST IMPACTED BY INEQUITY, OUR ALICE FAMILIES AND WORKERS, AND SHARES THEIR STORIES VIA A WIDELY ACCESSIBLE PLATFORM. BY ENABLING STORIES TO PROLIFERATE, WE AIM TO UNDERMINE ASSUMPTIONS AND PRECONCEPTIONS AND CHANGE THE NARRATIVE ABOUT ALICE FAMILIES TO INFLUENCE POLICY AND ADVOCACY AND ENCOURAGE OTHERS TO JOIN AR EQUITY 2025'S MOVEMENT BUILDING. WRF LAUNCHED REIMAGINE ARKANSAS USING LISTENING TECHNOLOGY TO SURFACE REAL, UNBIASED PERSPECTIVES AND STORIES OF ALICE FAMILIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

175,715.

DESCRIPTION

ADDITIONAL LOAN MADE TO COMMUNITIES UNLIMITED:

COMMUNITIES UNLIMITED, INC. IS A MULTI-STATE COMMUNITY DEVELOPMENT ORGANIZATION AND COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION ESTABLISHED IN 1976 TO MOVE PEOPLE AND COMMUNITIES IN PERSISTENTLY POOR COUNTIES IN THE SOUTH TOWARD PROSPERITY. COMMUNITIES UNLIMITED SERVES 45% OF THE PERSISTENT POVERTY COUNTIES IN THE UNITED STATES. A PERSISTENT POVERTY COUNTY IS ONE IN WHICH OVER 20% OF ITS RESIDENTS HAVE LIVED IN POVERTY FOR 30 OR MORE YEARS. OVER HALF OF ARKANSAS COUNTIES ARE PERSISTENT POVERTY COUNTIES. COMMUNITIES UNLIMITED IS UNWINDING GENERATIONS OF INEQUITY AND DISINVESTMENT THROUGH HUMAN CONNECTION AND INGENUITY, COMBINED WITH CUTTING-EDGE TECHNOLOGY AND EXPERTISE. THE 2020 NOTE RECEIVABLE IS NONINTEREST BEARING FOR THE FIRST TWO YEARS. BEGINNING DECEMBER 31, 2022, INTEREST WILL ACCRUE AT 0.75%, AND QUARTERLY INTEREST ONLY PAYMENTS WILL COMMENCE ON MARCH 31, 2023. ALL UNPAID PRINCIPAL AND ACCRUED INTEREST IS DUE DECEMBER 31, 2030.

TO FORM 990-PF, PART IX-B, LINE 1

AMOUNT500,000.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 22

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ONLINE AT WWW.WRFOUNDATION.ORG
225 EAST MARKHAM STREET, SUITE 200
LITTLE ROCK, AR 72201

TELEPHONE NUMBER

(501) 376-6854

FORM AND CONTENT OF APPLICATIONS

WRF PROVIDES GENERAL OPERATING SUPPORT, PROJECT SUPPORT, TECHNICAL ASSISTANCE, AND PROGRAM RELATED INVESTMENTS TO ORGANIZATIONS WORKING TO ADVANCE ECONOMIC EQUITY, EDUCATIONAL EQUITY AND/OR SOCIAL, ETHNIC, AND RACIAL EQUITY WITHIN ARKANSAS. DETAILED GUIDELINES ARE AVAILABLE ON OUR WEBSITE AT [HTTPS://WWW.WRFOUNDATION.ORG/WHAT-WE-FUND/#HOW](https://WWW.WRFOUNDATION.ORG/WHAT-WE-FUND/#HOW). OUR APPROACH BEGINS WITH AN IDEA SUBMITTED TO OUR ONLINE PORTAL, WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/

ANY SUBMISSION DEADLINES

SUBMISSIONS ARE ROLLING AND CAN BE RECEIVED ONLINE AT WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/ AT ANY TIME

RESTRICTIONS AND LIMITATIONS ON AWARDS

WRF GRANTS AND PROGRAM-RELATED INVESTMENTS ARE LIMITED TO INITIATIVES THAT DIRECTLY OR SIGNIFICANTLY IMPACT THE STATE OF ARKANSAS, AND ARE PRIMARILY PROVIDED TO ORGANIZATIONS LOCATED IN ARKANSAS. IF YOUR INITIATIVE IS NOT LED BY A NONPROFIT, GOVERNMENT AGENCY, SCHOOL, COLLEGE, OR UNIVERSITY, WRF WILL REQUIRE A FISCAL SPONSOR. A FISCAL SPONSOR ACTS AS A GUARDIAN OF GRANT FUNDS, RETAINS DISCRETION AND CONTROL OVER THE USE OF GRANT FUNDS, AND ENSURES THAT THE FUNDS ARE USED FOR 501(C)(3) PURPOSES. THE FISCAL SPONSOR AND ORGANIZATION'S MISSIONS SHOULD BE IN ALIGNMENT.

WRF WILL GENERALLY NOT:

FUND INDIVIDUALS
SUPPORT CAPITAL EXPENDITURES (CONSTRUCTION, MAJOR RENOVATIONS, EQUIPMENT,

RESTRICTIONS AND LIMITATIONS ON AWARDS

OR SUPPLIES)

PROVIDE OPERATING SUPPORT TO COVER BUDGET SHORTFALLS
SUPPORT FUNDRAISING CAMPAIGNS
SUPPORT BASIC SCIENTIFIC RESEARCH
SUPPORT ENDOWMENTS
SUPPORT INITIATIVES THAT DO NOT DIRECTLY OR SIGNIFICANTLY HAVE AN IMPACT
WITHIN THE STATE OF ARKANSAS

COPY

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**
▶ **Go to www.irs.gov/Form8868 for the latest information.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. THE WINTHROP ROCKEFELLER FOUNDATION	Taxpayer identification number (TIN) 71-0285871
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 225 EAST MARKHAM STREET, NO. 200	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LITTLE ROCK, AR 72201	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 | 7

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ANDREA M. DOBSON

- The books are in the care of ▶ **225 EAST MARKHAM STREET, STE 200 - LITTLE ROCK, AR 72201**
Telephone No. ▶ **(501) 376-6854** Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and TINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 15, 2021**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ calendar year **2020** or
▶ tax year beginning _____, and ending _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 2,850.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.